

UNITED STATES DISTRICT COURT FOR THE
WESTERN DISTRICT OF WISCONSIN

DENNIS JONES'EL, MICHA'EL
JOHNSON, DE'ONDRE CONQUEST,
LUIS NIEVES, SCOTT SEAL, ALEX
FIGUEROA, ROBERT SALLIE, CHAD
GOETSCH, EDWARD PISCITELLO,
QUINTIN L'MINGGIO, LORENZO
BALLI, DONALD BROWN, CHRISTOPHER
SCARVER, BENJAMIN BIESE, LASHAWN
LOGAN, JASON PAGLIARINI, and
ANDREW COLLETTE, on behalf of
themselves and all others similarly
situated,

Plaintiffs,

v.

JON LITSCHER, in his official capacity;
GERALD BERGE, in his official and
individual capacities; and DOES 1 - 100,
in their official and individual capacities,

Defendants.

DOCKET
NUMBER

U.S. DISTRICT COURT
WEST. DIST. OF WISCONSIN

JAN 24 2002

FILED/RECEIVED
JOSEPH W. SKUPNIEWITZ, CLERK

CASE

Case No. 00-C-421-C

JAN 28 2002

SETTLEMENT AGREEMENT

INTRODUCTION:

Counsel for the Class of Plaintiffs in the Boscobel prison known as Supermax, were appointed by Federal Judge Barbara Crabb on October 10, 2000. The Department of Justice represented the Department of Corrections. Hundreds of pages of briefs and documents have been filed, expert opinions have come forward and discovery is underway. On October 11, 2001, the Court issued a preliminary injunction prohibiting the

housing of seriously mentally ill prisoners at SMCI. Negotiations began in October to determine if the Parties could reach an amicable settlement. After more than 8 sessions, the Parties have reached this Settlement Agreement ("Agreement"), subject to the approval of the Court. Portions of the Agreement have already been implemented.

ARTICLE I: DEFINITIONS

1.1 "the Court" means the United States District Court to which this case is assigned.

1.2 "Defendants" means WDOC Secretary Jon Litscher, SMCI Warden Gerald Berge, their successors in office, subordinates, agents, contractors, and attorneys.

1.3 "Electronic control device" means any device that delivers an electric shock, including but not limited to the Ultron II stun gun, stun shield, and Air taser.

1.4 "Ultron II stun gun" is a hand held electronic control device which is applied directly to the inmate's body while held by the approved officer.

1.5 "Stun Shield" is an electronic control device consisting of a plastic shield equipped with an electrical device.

1.6 "Air Taser" is an electronic control device which employs attached projectiles which deliver the electronic charge to the inmate from a distance.

1.7 "SMCF" means the prison currently known as the Supermax Correctional Institution, located in Boscobel, Wisconsin.

1.8 "Serious Mental Illness" shall be defined by the Court.

1.9 "DOC" means the Wisconsin Department of Corrections, its subdivisions, agents, employees, contractors, and attorneys.

1.10 "Warden" means the Warden of SMCI or his/her designee.

ARTICLE II: POPULATION

2.1 All inmates transferred to SMCI will come in a specified segregation status. Implicit in such status is that each inmate who comes to the institution will have had the required due process to lawfully place him in that status.

ARTICLE III: MONITOR

3.1 This Agreement became a reality when the Parties agreed to have a Court approved Monitor who understands the needs of the DOC as well as the rights of inmates. The Parties have agreed to appoint a monitor who will be the intermediary between DOC and counsel for Plaintiffs, review complaints from inmates, visit the prison and have access to records at SMCI, staff and inmates when he/she deems it appropriate.

3.2 The Monitor may provide information deemed appropriate and necessary for the enforcement of this Agreement and Court approved settlement to Plaintiffs' counsel.

3.3 Plaintiffs' counsel shall retain the lawyer-client relationship as set forth in Judge Crabb's order and will retain the right to meet with inmates and review their files.

3.4 The Monitor shall report to the Court on the implementation of the Court's order and the Settlement Agreement on an annual basis or whenever he/she feels it is imperative to do so. The Monitor's initial appointment shall be for two years with the possibility of extending the appointment for up to two additional years at the discretion of the Court.

3.5 The Parties have agreed that Attorney Steve Hurley will serve as the Monitor. In the event that it shall become necessary to select a replacement for Attorney Hurley, Walter Dickey and Ken Morgan shall select from a pool of candidates acceptable to both parties.

3.6 Counsel for the Parties have agreed that the settlement documents should be brief, flexible and consistent with the spirit of the goal of managing a prison within constitutional limits. The Monitor, order approving this Agreement, and continuing

jurisdiction of the Court are the substitute for excruciating detail otherwise necessary in a Court Order and Agreement.

3.7 Counsel for Plaintiffs shall have a continuing responsibility regarding the implementation of this Agreement. Reasonable attorney fees, expert fees, and costs at market rates shall be provided for such purpose. The Monitor shall determine any dispute over fees. Counsel shall have access to the prison, records, inmates and staff, through the Monitor, during the term of this Agreement. The Monitor's decisions are final unless appealed to the Court.

3.8 The Monitor's role shall extend no further than is necessary to enforce the terms of this Agreement.

ARTICLE IV: NAME & SPECIFICATIONS

4.1 The Building Commission shall determine the name of the prison. One possible name is *Sand Prairie Correctional Institute* (SPCI) but there is agreement that the institution shall not be known in any future literature of the DOC as a so-called "Supermax" prison.

4.2 The goal is to utilize programming and a reward system to prepare inmates to progress to level five in order to transition to another less restrictive institution or to society. SMCI shall not be identified by DOC as housing the "worst of the worst."

4.3 All inmates, whether or not in the level program, shall have at least the same rights and privileges that prisoners in other maximum-security prisons in Wisconsin have in Program or Administrative segregation

4.4 Prisoners assigned to SMCI shall be on Program Segregation status, i.e., a maximum of 365 days of segregation, or Administrative Confinement. No prisoners will

be assigned to SMCI for Adjustment segregation.

4.5 If a prisoner is required to serve Adjustment Segregation while at the Institution, he shall be given a due process hearing and shall not be in that status for more than eight (8) days.

4.6 No seriously mentally ill prisoners will be sent to SMCI nor will seriously mentally ill prisoners at the facility be permitted to remain there. The Court will be asked to define serious mental illness.

4.7 No prisoners in protective custody status will be assigned to SMCI.

ARTICLE V: ENTRY LEVEL

5.1 Under current DOC rules, every prisoner who enters SMCI is automatically assigned to Level 1. While that will be the norm, an entering inmate could move directly to a different level based on the decision of the Warden under existing DOC rules.

5.2 Those placed in Level 1 will stay no longer than seven days with the following exceptions:

5.2.1 The Warden, for cause, may permit an extension of the stay in which case the inmate may be housed in Level 1 for no longer than an additional 7 days.

5.2.2 The Monitor will be notified of any instance in which the warden deems it necessary to extend an inmate's stay in Level 1 beyond a total of 14 days. No inmate will be held in Level 1 for longer than 14 days unless he has received and been found guilty of a major conduct report or multiple minor conduct reports while in Level

1.

5.3 Prisoners, following observation, may be assigned to Level 2 or Level 3. The normal stay at Level 2 shall be 60 days.

5.4 It is the goal of the Parties that the vast majority of inmates shall be on Levels 3, 4, or 5.

ARTICLE VI: LEVEL PROGRAM

6.1 The policy handbook for SMCI shall be approved by the Monitor insofar as current policies are altered by this Agreement.

6.2 The Monitor shall be asked to review the policy handbook and make certain that the rules for progressing through the level system are consistent with the Agreement, the Court's order, and the constitutional rights of the inmates. The rules shall be explained to the inmates.

ARTICLE VII: EDUCATIONAL MATERIAL

7.1 Additional reading material will be provided for those on Level 1 and they will have video programs.

7.2 All prisoners above Level 1 shall have television and additional program material.

7.3 Out of cell educational programming shall be expanded for Levels 4 and 5.

ARTICLE VIII: OUT OF CELL ACTIVITY

8.1 Level 1. Inmates shall receive not less than 5 hours out of cell per week which may be used for exercise. Visits shall not count against that time.

8.2 Level 2. Inmates shall receive not less than 5 hours out of cell per week which may be used for exercise. Visits shall not count against that time.

8.3 Level 3: Inmates shall receive not less than 5 hours out of cell per week which may be used for exercise. Visits shall not count against that time.

8.4 Level 4 & 5: Inmates shall receive not less than 10 hours out of cell per week, at least five of which may be used for exercise. Congregate activity will be encouraged. Educational activities, jobs, and day room activities may be allowed at the discretion of the Warden in addition to the allotted 10 hours.

8.5 Outdoor Exercise Area: By April 1, 2002, or as soon thereafter as possible, there will be an outdoor recreation area available to all inmates on Levels 3, 4 and 5. The prisoner's preference for indoor or outdoor exercise shall be considered.

8.6 The indoor recreation areas shall be heated. The goal is 68 degrees. Proper ventilation or fans will be utilized in summer.

ARTICLE IX: LIGHTS

9.1 Night-lights shall be reduced by at least 60% with the replacement of current bulbs with 5-watt bulbs or less.

9.2 Inmates shall be permitted to cover their eyes so long as some facial skin is exposed for inspection purposes.

ARTICLE X: CANTEEN PRIVILEGES

10.1 It is recognized that there are minor variances throughout the system in Wisconsin but it is agreed that canteen privileges in SMCI shall be approximately the same as in other maximum-security prisons.

ARTICLE XI: VISITS

11.1 Prisoners at Levels 4 & 5 shall have the right to face-to-face non-contact visits. DOC will determine if this privilege can be extended to Level 3.

11.2 Visits by video shall be made available at least from Milwaukee and Racine as soon as practicable.

ARTICLE XII: RESTRAINTS

12.1 Prisoners on Level 4 shall move toward no restraints. It is understood that those entering Level 4 may require a transition from Level 3 to Level 4 before having restraints removed.

12.2 Those on Level 5 will not normally have restraints and those on Level 4 will not normally have restraints following the transition period.

12.3 No prisoner may be held in the so-called 5-point restraint absent specific permission from medical staff and the Warden.

12.4 The air taser shall not be used in cell.

12.5 Electronic control devices shall not be used on prisoners who are taking anti-psychotic medications or anti-depressant medications.

ARTICLE XIII: MISCELLANEOUS ISSUES

Dental and health issues:

13.1 The defendants shall develop and implement a written policy to provide for dental emergencies which may arise when the institution dentist is not present or available to report to the institution.

13.2 The defendants shall make every effort to ensure that the contracted providers maintain the dental staff at the levels set forth in the current contract with the dental provider and shall make every effort to fill any vacancies as quickly as is reasonably possible.

13.3 The defendants shall develop and implement a system of making medical entries and maintaining inmate medical records.

13.4 Inmates entering SMCI shall receive an initial health screening which shall include a complete review of essential medications.

13.5 Medical staff members shall only undertake tasks which are consistent with their level of credentials and training.

13.6 Consistent with security policy, inmates shall be permitted to request that any discussions involving confidential medical information be conducted in a private setting.

13.7 The defendants shall make reasonable efforts to ensure that the contracted providers are providing medical care that is consistent with standards set forth in the contract with the contracted provider.

13.8 Confidential medical records shall only be reviewed by persons with the appropriate status or position.

Religious Articles.

13.9 In accordance with the DOC IMP covering religious articles, inmates shall normally be permitted to possess the following items:

13.9.1 Protestant inmates-Bible on all levels-other books/literature depending on level

13.9.2 Muslim inmates- black kufi – 24 x 20 prayer rug - Qur'an on all levels – other books/literature depending on level

13.9.3 Jewish inmates-Torah (Bible)-Talith (pocket size) on all levels-other books/literature depending on level

13.9.4 Catholic inmates- Bible – plastic, black rosary on all levels – other books/literature depending on level

13.9.5 Native American inmates-one braid of sweet grass (app. 2 inches long) -religious text on all levels – other books/literature depending on level

13.9.6 Wiccan inmates-religious text on all levels- other books/literature depending on level

13.9.7 Buddhist inmates - religious text on all levels - other books/literature depending on level

13.10 Food shall not be used as punishment.

13.11 Cell temperatures: The goal shall range between 68 and 72 degrees during the winter, spring and fall. Inmates requesting additional warmth will be given extra blankets and a long-sleeve underwear top.

13.12 The goal for cell temperatures in summer shall be 80-84 degrees. DOC will investigate and implement as practical a means of cooling the cells during summer heat waves.

13.13 No additional video cameras will be installed.

13.14 Showers: Prisoners shall be allowed no fewer than three showers per week

13.15 Shutters to the cell vestibule will be open in Alpha unit. Shutters in Alpha unit shall open to the hallway.

13.16 Calendar clocks shall be installed in all cells and kept on time and in good working order by DOC.

13.17 Phone privileges for inmates shall be available at all levels and will be comparable to other maximum-security facilities. The number of minutes per level shall be at least:

Level 1: one 10 - minute call per month

Level 2: two 10- minute calls per month

Level 3: three 10 - minute calls per month

Level 4: four 15 - minute calls per month

Level 5: five 15 - minute calls per month

ARTICLE XIV: FEES & COSTS

14.1 Counsel for Plaintiffs and their expert witnesses shall be paid reasonable attorneys and expert fees and costs at market rates for time and expenses in connection with this suit including work leading up to the Agreement and securing its final approval from the Court under Fed. R. Civ. P. 23(e).

14.2 Defendants shall be responsible for the fees and reasonable costs of the Monitor and his agents, and for future reasonable attorney and expert fees and costs at market rates for counsel for plaintiffs to oversee the Court approved Settlement. It is understood that Judge Crabb shall retain jurisdiction.

ARTICLE XV: PLRA COMPLIANCE AND COURT JURISDICTION

15.1 It is understood and agreed by the parties that the Court shall retain jurisdiction to enforce the terms of this Agreement.

15.2 The parties stipulate, based on the entire record, that the relief granted by this Agreement is narrowly drawn, extends no further than necessary to correct the alleged violations of plaintiffs' federal rights, and is the least intrusive means necessary to correct the alleged violations of plaintiffs' federal rights.

15.3 In order to allow for proper implementation of this Agreement, the parties agree not to seek to modify or terminate or otherwise challenge this Agreement, or any order approving or implementing this agreement, for a period of five years from the date the Court finally approves this Agreement pursuant to Fed. R. Civ. P. 23(e).

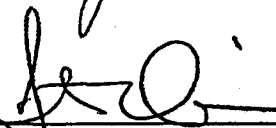
15.4 The settlement of this matter does not constitute, and should not be construed as an admission or indication of liability on the part of the defendants and shall not be relied upon as precedent in any future claims.

15.5 The Parties agree that the relief granted by this Agreement is consistent with all requirements of Prison Litigation Reform Act (PLRA).


ARTICLE XVI: DAMAGES

16.1 The Defendants agree to pay \$3,500 each to the original Plaintiffs, Dennis Jones'el and Micha'el Johnson. It is understood by the attorneys for the parties that this provision is subject to approval by Plaintiffs Jones 'el and Johnson.

Dated: Jan 24, 2012



Stan Davis, for and on behalf of
The Department of Corrections



Ed Garvey, for and on behalf of
Plaintiffs