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## UNITED STATES DISTRICT COURT

EASTERN DISTRICT OF CALIFORNIA

EQUAL EMPLOYMENT OPPORTUNITY
COMMISSION,

Plaintiff,

V.

UNCLE HARRY'S NEW YORK BAGELS,

Defendant.

CV F 01 6252 OWW SMS

ORDER DENYING PLAINTIFF'S MOTION
FOR PROTECTIVE ORDER (DOC. 13)

The motion of Plaintiff for a protective order came on regularly for hearing on May 10, 2002, at 9:30 a.m. in Courtroom 4 before the Honorable Sandra M. Snyder, United States Magistrate Judge. Cheri L. Ho of the Equal Employment Opportunity Commission (EEOC) appeared on behalf of the Plaintiff and movant; David R. McNamara of McCormick, Barstow, Sheppard, Wayte & Carruth appeared on behalf of Defendant. After argument the matter was submitted to the Court.

## I. Background

The EEOC is suing Defendant, a former employer, for damages and injunctive relief for employment discrimination in violation

of Title VII of the Civil Rights Act of 1964 and Title I of the Civil Rights Act of 1991. A stipulated protective order governing release of confidential information was previously filed on March 21, 2002.

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On May 1, 2002, Plaintiff EEOC moved for a protective order pursuant to Fed. R. Civ. P. 26 and 37 and Local Rule 37-251 to prevent further contact of claimants by owners of the Defendant corporation. The alleged contacts included 1) a visit on April 12, 2002, by owner Rena Rutledge to the place of work of claimant Antoinette Medina at which Rutledge asked Medina to explain her actions with respect to the lawsuit; 2) a brief telephone call on or about April 15, 2002, by owner Rena Rutledge to claimant Mariel Howesepian. By letter dated April 17, 2002, counsel for the EEOC informed Defendants' counsel of the contacts and requested cessation of all contact. In a responsive letter of April 17, 2002, counsel for Defendant explained that he had not directed his clients to make the contacts and that the contacts were not inappropriate because counsel had not directed them. Counsel stated that he would not tell his clients not to speak with the claimants if they happened to run into them. In a responsive letter of April 19, 2002, EEOC counsel informed Defendant's counsel that the contacts were harassing and embarrassing. Telephone contacts resulted in a failure to reach an agreement or stipulation.

At the hearing on the motion, counsel for Defendant conceded that repeated attempts of a party to contact an opposing party might be considered to be harassment or intimidation; however, counsel distinguished a mere greeting during a chance meeting in a public place from a situation where there had been repeated contacts made with the purpose of discussing matters involved in the lawsuit. Counsel for the EEOC explained that contact with the owners was awkward for many of the claimants because the individuals involved liked each other and meant no harm to the individuals involved. However, it now clearly appears that the claimants feel that the contacts are harassing or intimidating, and that they desire that the contacts be stopped. Counsel for Defendant gave his word to the Court that he would write to his clients and instruct them not to contact the charging party or claimants for the purpose of discussing matters involved in the lawsuit.

## II. <u>Discussion</u>

Fed. R. Civ. P. 26(c) provides:

Upon motion by a party or by the person from whom discovery is sought, accompanied by a certification that the movant has in good faith conferred or attempted to confer with other affected parties in an effort to resolve the dispute without court action, and for good cause shown, the court in which the action is pending or alternatively, on matters relating to a deposition, the court in the district where the deposition is to be taken may make any order which justice requires to protect a party or person from annoyance, embarrassment, oppression, or undue burden or expense....

. . . .

The provisions of Rule 37(a)(4) apply to the award of expenses incurred in relation to the motion.

There is a heavy burden on the moving party to demonstrate good cause for a protective order. Blankenship v. Hearst Corp., 519 F.2d 418, 429 (9th Cir. 1975). The movant must demonstrate a particular and specific need for the order. General Dynamics Corp. v. Selb Mfg. Co., 481 F.2d 1204, 1212 (8th Cir. 1973). Rule 26(c) is to be construed to secure the just, speedy, and

inexpensive determination of every action; it implements the inherent power of the courts to exercise appropriate control over the discovery process. <u>Hatchette Distribution</u>, <u>Inc. v. Hudson</u>, <u>County</u>, 136 F.R.D. 356, 357 (E.D.N.Y. 1991).

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The Court understands that the persons involved may encounter each other occasionally in their daily lives, and the Court acknowledges the concern of Defendant's counsel for the appropriate scope and enforcement of any protective order. An unplanned encounter and brief greeting at a sporting event or other public place should not, considering the present circumstances, be considered improper. However, at the same time, the Court is concerned with the integrity of the judicial process and the need to prevent annoyance or harassment of parties and witnesses in the case before it. Much of the parties' argument was devoted to California Rule of Professional Conduct 2-100, which prohibits counsel from contacting an unrepresented party. Although Rule of Professional Conduct 2-100 and its analogues do not by their terms prohibit parties themselves from communicating with respect to the subject matter of the representation, Rule 2-100 does in part function to protect the attorney-client relationship of the represented party who is approached. United States v. Lopez, 765 F.Supp. 1433, 1448-49 (N.D.Cal. 1991), rev'd on other grounds, 4 F.3d 1455, 1463 (9th Cir. 1993).

This matter falls squarely within the scope of Rule 26(c). Because Defendant's owners' contacts are inquiries directed to parties about matters that could be used as evidence against the Plaintiffs, they constitute "discovery" within the meaning of Rule 26(b)(1). Bernal v. Southern Pacific Transportation Co., 196

F.R.D. 371, 373 n. 2 (E.D.Cal. 2000). Although the conduct of the owners of Defendant here was not extreme, it appears to have been repeated. Repeated contact known to be considered annoying, harassing or intimidating could justify a protective order. Bernal v. Southern Pacific Transportation Co., 196 F.R.D. 371, 373. However, considering all the circumstances, including Defendant's counsel's acknowledgment that repeated contacts regarding the merits of the action would be considered undesirable to the claimants and their counsel, the Court concludes that the contacts in the present case that occurred prior to the hearing on the motion were not sufficiently repetitive or known by the owners or counsel to be offensive to the claimants to necessitate granting a protective order here. Because Defendant's counsel promised the Court to instruct his clients in writing to cease contacting the charging party and claimants by telephone and to cease making personal contact with them at their places of work or at any other location for the purpose of discussing the subject matter of this action, it has not been shown that a protective order is necessary. Thus, the Court will deny the motion.

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However, in denying the motion, the Court reiterates its concern for the integrity of the proceedings before it. Defendant and Defendant's counsel are now on notice that the contact is undesirable to the charging party and claimants. The denial of the motion is without prejudice to the bringing of a renewed motion for a protective order should Defendant, its agents, successors and assigns, and any in active concert or participation with them make any further telephone or personal

1 contacts with the charging party Karla Burgueno or with claimants 2 | Gia Badaracco, Mariel Howesepian, Antoinette Medina, Shiree Oberthier, or Sylvia Reyes for the purpose of discussing the subject matter of this action. Accordingly, Plaintiff's motion for a protective order IS DENIED. IT IS SO ORDERED. 

Jay 20, 2002 DATED:

UNITED STATES MAGISTRATE JUDGE

United States District Court for the Eastern District of California May 21, 2002

\* \* CERTIFICATE OF SERVICE \* \*

1:01-cv-06252

**EEOC** 

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Uncle Harry's

I, the undersigned, hereby certify that I am an employee in the Office of the Clerk, U.S. District Court, Eastern District of California.

That on May 21, 2002, I SERVED a true and correct copy(ies) of the attached, by placing said copy(ies) in a postage paid envelope addressed to the person(s) hereinafter listed, by depositing said envelope in the U.S. Mail, by placing said copy(ies) into an inter-office delivery receptacle located in the Clerk's office, or, pursuant to prior authorization by counsel, via facsimile.

OWW SMS

Linda Susan Ordonio-Dixon Equal Employment Opportunity Commission San Francisco District Office 901 Market Street Suite 500 San Francisco, CA 94103

David R McNamara McCormick Barstow Sheppard Wayte and Carruth PO Box 28912 Five River Park Place East Fresno, CA 93720-1501

Jack L. Wagner, Clerk

BY:

Deputy Clerk