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8	UNITED STATES DISTRICT COURT							
9	NORTHERN DISTRICT OF CALIFORNIA							
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12	BOBBY LOPEZ, MARCO TOPETE, JOHN MYLES, RICARDO ROLDAN,	Case No.						
13	JOHN GONZALES, and RONALDO	COMPLAINT						
14	MEDRANO AYALA, on their own behalf and on behalf of a class of similarly	CIVIL RIGHTS						
15	situated prisoners,	CLASS ACTION						
16	Plaintiffs,							
17	V.							
18	EDMUND G. BROWN, Governor of the State of California; JEFFREY A. BEARD,							
19	Secretary of the California Department of Corrections and Rehabilitation;							
20	RONALD DAVIS, Warden, San Quentin State Prison,							
21	Defendants.							
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	Lopez v. Brown, Case No.							
	COMPLAINT – 1							

I. NATURE OF THE ACTION

- 1. Plaintiffs BOBBY LOPEZ, MARCO TOPETE, JOHN MYLES, RICARDO ROLDAN, JOHN GONZALES, and RONALDO MEDRANO AYALA, sue on their own behalf and as representatives of a class of condemned prisoners who have been incarcerated in the Adjustment Center at San Quentin State Prison under inhumane and degrading conditions for excessively long periods of time without meaningful review of their placement and with no hope of release.
- 2. Plaintiffs and the class seek declaratory and injunctive relief against EDMUND G. BROWN, JEFFREY A. BEARD, and RONALD DAVIS (defendants) in their official capacities. Plaintiffs and the class are incarcerated by the California Department of Corrections and Rehabilitation (CDCR) and are entirely under the control of defendants. Plaintiffs are at the mercy of defendants to provide for and protect their most basic human needs, including adequate housing, recreation, nutrition, physical and mental health care, meaningful human contact, environmental stimulation, and social intimacy.
- 3. Plaintiffs and the class are all men sentenced to death in California. They are confined in the Adjustment Center, one of three units that house condemned men at San Quentin State Prison (SQSP). The Adjustment Center holds approximately 100 condemned men in extreme isolation.
- 4. As in CDCR's Security Housing Units (SHUs) at other state prisons, men who live in the Adjustment Center live under the most severe deprivations of basic human needs and are subject to the most wretched treatment as compared to other California prisoners and death row prisoners in other states.
- 5. Plaintiffs and the class they represent are placed in these conditions as a result of their perceived gang affiliations, and their retention is based on this perception rather than

on allegations and proof of actual gang-related behavior or other misconduct. Once confined in the Adjustment Center, they are denied any meaningful review of their retention.

- 6. Prisoners in the Adjustment Center spend 21 to 24 hours per day inside the four walls and solid steel door of their cramped cells. They remain there alone, with no exposure to natural light, no access to religious services, and devoid of recreational, vocational, and educational programming. They are denied contact visits or telephone calls. Defendants persistently and intentionally deny these men the normal human contact and socialization necessary for a person's mental and physical well-being. These brutal and relentless conditions of confinement have produced harmful and predictable psychological deterioration among plaintiffs and class members.
- 7. Plaintiffs and the class are retained in these conditions indefinitely and without meaningful review of their placement. Condemned prisoners receive a classification grade of "A" or "B," with "A" having many privileges and freedoms, and "B" having stark and cruel deprivations.
- 8. Plaintiffs, all Grade B, are entitled to a periodic classification "review," which occurs approximately every 90 days. *See* San Quentin Operational Procedure No. 0-0608, §315(b) (October 2014). The "review," however, is perfunctory and without process. The classification committee provides no substantive assessment of the plaintiffs' inability to be reasonably managed by prison staff or their risk to the safety of the institution or risk of escape—the criteria upon which a prisoner is assigned Grade B classification. *See* San Quentin Operational Procedure No. 0-0608, §301 (October 2014).
- 9. The depraved conditions of Grade B, called a "program" by CDCR, are, by design, meant to penalize prisoners the administration sees as unsuitable for the privileges granted to the majority of the condemned population.

- 10. The severity of this penalization amounts to torture, and plaintiffs have no meaningful end in sight.
- 11. In 2013, to protest the inhumane and degrading conditions and violations of due process inherent in incarceration in the Adjustment Center, many plaintiffs and class members organized and participated in a hunger strike. The hunger strike, conducted in solidarity with prisoners isolated in SHUs across the state, was by all accounts peaceful. The undercurrent of the strike, however, notably that plaintiffs and the class live in such a dire and unforgiving environment that the only meaningful way to challenge the inhumane and degrading conditions was to risk death, is a graphic condemnation of the conditions in the unit and the helplessness felt by participants.
- 12. In response to the strike, CDCR officials met with the organizers to discuss their demands, which included increased recreation and socialization opportunities, increased opportunity for family visits and communication, and meaningful review of their retention in the Adjustment Center. Despite this meeting, no changes resulted. Instead, participants were assessed a rules violation for their peaceful strike. This write-up is viewed as a disciplinary offense and used to justify their continued retention in the Adjustment Center.
- arbitrarily and with no meaningful process into isolation in the Adjustment Center, and while there, suffer atypical and significant hardship, in violation of the due process clause of the Fourteenth Amendment of the United States Constitution. While confined in the Adjustment Center, plaintiffs suffer serious harm and are subject to a substantial risk of serious harm as a result of the conditions and their isolation in the Adjustment Center cells, constituting cruel and unusual punishment in violation of the Eighth Amendment of the United States

1 || Constitution.

II. JURISDICTION AND VENUE

- 14. Plaintiffs and the class bring claims pursuant to 42 U.S.C. § 1983 and the Eighth and Fourteenth Amendments to the United States Constitution.
- 15. This Court has jurisdiction for claims seeking declaratory and injunctive relief pursuant to 28 U.S.C. §§ 1331, 1343 and the Declaratory Judgment Act, 28 U.S.C §§ 2201, 2202.
- 16. Venue is proper in the Northern District of California pursuant to 28 U.S.C. § 1391(b)(2), in that a substantial part of the events or omissions giving rise to the claims brought by plaintiffs and the class have occurred in Marin County in this District.

III. PARTIES

A. Plaintiffs

17. Plaintiff BOBBY LOPEZ (K76100) is a 50-year-old prisoner classified as Grade B and housed in the Adjustment Center at San Quentin State Prison. He has been confined in the Adjustment Center for 17 years, without any meaningful review of his placement and with no reasonable means of earning his way into Grade A classification. Although he was validated as a member of the Nuestra Familia prison gang in 1997 based on a gang enhancement in his commitment offense, he has never received a formal inactive review. 1

^{1.} In other CDCR institutions, a prisoner suspected of gang affiliation is subject to an internal confirmation procedure known as "validation." The procedure requires the gang investigation unit staff to collect information about a prisoner's suspected gang affiliation, recommend validation if the information meets the minimum criteria established by a weighted point system, and send the recommendation to the Office of Correctional Safety for approval. See 15 CCR §3778.2. A validated gang member is entitled to periodic reviews of his classification and an "inactive review" every six years, to assess whether he has been participating in actual gang activity during the validation period. See 15 CCR §3378.8. Prisoners who are validated are encouraged to "debrief," or inform on prison gangs and prison gang activity, as a means to gain release from segregation.

Lopez has received only one disciplinary write-up impacting his classification since 2005, and that was for his participation in the 2013 hunger strike to improve conditions in the Adjustment Center. Despite his clean disciplinary record, he is told that the only way he will be released from the Adjustment Center and removed from indefinite Grade B classification is to debrief. Lopez has been on death row since November 1997.

- 18. Plaintiff MARCO TOPETE (AK7990) is a 42-year-old prisoner classified as Grade B and housed in the Adjustment Center at SQSP. Topete has been confined in the Adjustment Center for three years, without any meaningful review of his placement and with no reasonable means of earning his way into Grade A classification. Topete was validated as a member of Northern Structure in December 1999. Despite having an inactive review when confined at Pelican Bay State Prison in 2006, Topete has never had an inactive review during his time as a condemned prisoner. At classification meetings, he is told to debrief for any hope of release from the Adjustment Center. With the exception of a disciplinary write-up for his participation in the 2013 hunger strike, Topete has received only three disciplinary write-ups affecting his classification during his time in the Adjustment Center, each for relatively minor infractions. Topete has been on death row since February 2012.
- 19. Plaintiff JOHN MYLES (T15235) is a 43-year-old prisoner classified as Grade B and housed in the Adjustment Center. Myles has been confined in the Adjustment Center for 11 years, without any meaningful review of his placement and with no reasonable means of earning his way into Grade A classification. He has not been validated as a gang member or associate, but an allegation that he had ties to a street gang prior to his incarceration and a single informational "chrono" documenting his possession of a single piece of gang material has kept him indefinitely confined in the Adjustment Center for over a decade. During his 11 years in the Adjustment Center, Myles has received only three disciplinary infractions that

impact his classification, with the last one being in 2012. Myles is not told how he can earn his way out of the Adjustment Center and instead just rots in the attendant isolation with no meaningful hope of relief. Myles has been on death row since May 2001.

- 20. Plaintiff RICARDO ROLDAN (H62400) is a 44-year-old prisoner classified as Grade B and housed in the Adjustment Center. Roldan has been confined in the Adjustment Center for eight years, without any meaningful review of his placement and with no reasonable means of earning his way into Grade A classification. Roldan has never been validated as a gang member or associate. Nevertheless, he is told that he is unsuitable for placement on East Block due to allegations of gang affiliation lodged against him in confidential memoranda. Since he has been confined in the Adjustment Center, Roldan has not received a disciplinary infraction affecting classification with the exception of a write-up for participating in the hunger strike. Roldan has been on death row since January 1993.
- 21. Plaintiff JOHN GONZALES (P23300) is a 38-year-old prisoner classified as Grade B and housed in the Adjustment Center. Gonzales has been confined in the Adjustment Center for four years, without any meaningful review of his placement and with no reasonable means of earning his way into Grade A classification. Gonzales has never been validated as a gang member or associate. Despite that, confidential memoranda claim that Gonzales has ties to the Mexican Mafia, and other prisoners' "rosters" with Gonzales' name on them are used to condemn him to the Adjustment Center. Gonzales has only two disciplinary violations on his record that affect his classification: one for participating in the peaceful hunger strike and one for working out in an organized fashion with other men alleged to be gang affiliates. Gonzales has been on death row since December 1998.
- 22. Plaintiff RONALDO MEDRANO AYALA (E10000) is a 65-year-old prisoner classified as Grade B and housed in the Adjustment Center. He has been confined in the

Adjustment Center for 26 years, without any meaningful review of his placement and with no reasonable means of earning his way into Grade A classification. Although he was validated as a member of the Mexican Mafia upon his admission to the unit, he has never received an inactive review. Ayala has been on death row since February 1989.

B. Defendants

- 23. Defendant EDMUND G. BROWN, Jr. is the Governor of the State of California. As such, he has caused, created, authorized, condoned, ratified, approved or knowingly acquiesced in the illegal, unconstitutional, and inhumane conditions, actions, policies, customs and practices that prevail in the Adjustment Center at SQSP, as described below. He has, therefore, directly and proximately caused, and will continue to cause in the future, the injuries and violations of rights set forth below. Defendant Brown is sued in his official capacity only.
- 24. Defendant JEFFREY A. BEARD is the Secretary of the CDCR. As such, he has caused, created, authorized, condoned, ratified, approved or knowingly acquiesced in the illegal, unconstitutional, and inhumane conditions, actions, policies, customs and practices that prevail in the Adjustment Center, as described below. He has, therefore, directly and proximately caused, and will continue to cause in the future, the injuries and violations of rights set forth below. Defendant Beard is sued in his official capacity only.
- 25. Defendant RONALD DAVIS is the Warden of San Quentin State Prison. As such, he has caused, created, authorized, condoned, ratified, approved or knowingly acquiesced in the illegal, unconstitutional, and inhumane conditions, actions, policies, customs and practices that prevail in the Adjustment Center, as described below. He has, therefore, directly and proximately caused, and will continue to cause in the future, the injuries and violations of rights set forth below. Defendant Davis is sued in his official

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IV. FACTUAL ALLEGATIONS

Background of the Adjustment Center A.

- San Quentin State Prison houses all men condemned to death in the State of 26. California. With 750 condemned prisoners, 727 of whom are men housed at San Quentin, California far exceeds any other state in the number of prisoners awaiting execution. Many of these prisoners have languished on death row for decades due to California's exceedingly long, and, as one district court has found, unconstitutional delays in the appeals process. See Jones v. Chappell, 31 F.Supp.3d 1050, 1069 (2014).
- 27. Including men sentenced to death in 2014, nearly 78 percent of the condemned population has been on death row for a decade or more. California has not executed a condemned prisoner since 2006. Between 1976, when the national death penalty moratorium was lifted, and 2006, California executed 13 men. The average time they spent on death row was 17.5 years. As a result of California's current de facto moratorium on imposition of the death penalty, men are residing much longer than previously in the condemned unit at San Quentin. Further, the sheer number of men condemned to death and the severe delays in appeals attorney appointments mean that exhaustion of death penalty appeals takes approximately 25 years to complete. See Jones v. Chappell, 31 F.Supp.3d 1050, 1054-1056 (2014).
- 28. With the exception of men in special health care or mental health care management programs, condemned men are housed in one of three units at SQSP: Northern Segregation, East Block, and the Adjustment Center.
- 29. All prisoners who arrive on death row begin their incarceration in the Adjustment Center. Some, like plaintiffs Ayala, Topete, and Lopez, have never left. Others,

such as plaintiffs Gonzales, Roldan, and Myles, were moved into the Adjustment Center for a disciplinary infraction and remain there for unconscionably long periods of time, despite accruing long amounts of time free from serious disciplinary infractions.

- 30. Title 15 of the California Code of Regulations (Title 15) codifies the policies and procedures to which all prisoners in CDCR custody are subject. Death row at San Quentin is also governed by an additional procedural manual, Operational Procedure 0-0608 (O.P. 608), also known as the condemned manual. The condemned manual is reviewed annually by the prison administration, and any proposed changes are submitted to the Warden for approval. While the condemned manual is said to supplement Title 15, its vague procedures sometimes contradict Title 15 in crucial ways, and the condemned manual is often followed in the face of those conflicts. As a result, significant portions of plaintiffs' daily lives are governed by a procedural manual drafted, implemented, and monitored by the Warden and staff of San Quentin.
- 31. O.P. 608 defines the classification scheme for men in the condemned unit. They are denied the typical classification structure applied to other prisoners, as defined by Title 15. In lieu of an individualized classification review to assign a privilege group and a work group and the attendant rights and freedoms that come with those assignments, prisoners in the condemned unit receive one of two classifications, Grade A or Grade B. Prisoners are classified as Grade A or Grade B based on the vague standard of whether they present a "high risk" of violence or escape or are "difficult management cases." No further guidance is provided.
- 32. Prisoners classified as Grade A can work, get an advanced education, call their families every day, touch their loved ones during two and one-half hour visits, receive quarterly packages and special purchase orders, order additional food and recreation items

from the commissary, create art, recreate with equipment, access the vast San Quentin library, and worship in group settings. Prisoners classified as Grade B are denied all possibilities for work, enrichment and socialization. They do not experience normal human contact. They receive only minimal recreation; limited, non-contact visiting; access to a book cart comprised of other condemned men's used books; and only an annual package and special order, the means by which they can receive new clothes, a radio, or a television.

- 33. Grade B classification can be assigned as punishment for a definite period. Prisoners with a determinate Grade B assignment receive a Minimum Eligible Release Date (MERD) and have their behavior reviewed in anticipation of that date. A prisoner with disciplinary Grade B status may serve their term on the East Block or in the Adjustment Center.
- 34. In contrast, plaintiffs and the class are formally or informally classified indeterminate Grade B and may only serve out their indefinite sentence in the Adjustment Center. They have no MERD permitting them some idea of the time that they will be freed from the abysmal conditions of the Adjustment Center. Instead, they have a meeting every 90 days that engenders no meaningful hope of relief from the extreme isolation and deprivations suffered daily.
- 35. All the prisoners in the Adjustment Center are Grade B. Some Grade B prisoners are also housed in East Block. The difference between the Adjustment Center and East Block—where prisoners are housed behind bars, not solid steel; are showered with natural sunlight, not slivers of indirect sunlight if they are lucky enough to have a cell that has an unobstructed view of the windows above the hallway opposite their cell doors; and who can more openly talk with other prisoners through their cell bars, instead of shouting through the walls to a neighbor—is palpable for plaintiffs.

36. Topete, Roldan, Ayala, and Lopez have all been told by the classification committee that they will never experience this relative freedom because Grade A or Grade B East Block is not appropriate for anyone with a gang affiliation. All plaintiffs have been told to debrief to be considered for Grade A or Grade B East Block classification.

37. While plaintiffs and the class languish for indeterminate periods in the Adjustment Center, they struggle daily to maintain their psychological health and to maintain some hope of relief from crushing conditions they've come to know day in and day out.

B. Unconstitutional Conditions in the Adjustment Center

- 38. Plaintiffs spend almost their entire days in cells that measure approximately six feet wide and nine feet long, smaller than a standard parking spot. They cannot stretch their arms the width of their cell without touching the walls. Topete, who reports spending many hours in his cell pacing, can take only two full steps from the back of his cell to the door before having to pivot and pace the other way.
- 39. The hollow steel cell doors have two slivered windows that look out onto a bleak hallway and a small locked slot that is used to deliver food to plaintiffs and to handcuff them before they are transported from their cell. The slot is caked with old food. The cells have a third window next to the door that also looks onto the same hallway. The cells have no windows to the outside. Plaintiffs have no natural light or airflow in their cells. The only glimpse at the outside comes if a plaintiff is lucky enough to have a cell on the second or third tier. The windows above the hallway of the tier, opposite the cells, are largely boarded up on the first tier, obstructing the exposure to slivers of sunlight prisoners on the first floor might otherwise have.
- 40. Temperature in the cells is uncontrolled, fluctuating from extremely hot to extremely cold. Recycled air ventilation and flush restrictions on the toilets in the cells render

the air frequently stifling and stale.

- 41. The cells are starkly furnished. Plaintiffs have only a steel or concrete slab bunk with a thin mattress to sleep on, and a sink-toilet combination in which to relieve themselves. There is no chair or desk in the cell. There is no locker or shelving for storage. Plaintiffs must store the property they are permitted in one of the six banker's boxes they are allowed in their cells.
- 42. Property is severely restricted in the Adjustment Center. Men are permitted only 10 books, magazines, or newspapers at one time. They cannot keep personal hygiene items in their cell, beyond toilet paper, a tooth brush, and toothpaste. After the 2013 hunger strike, CDCR permitted plaintiffs three medical items such as lotion, eye drops, or nasal spray. Plaintiffs cannot keep hygiene items in their original containers. Hygiene supplies—including liquids such as shampoo—must be stripped of packaging and placed in plastic bags.
- 43. Plaintiffs are only permitted their hygiene items during their shower. If they need an item while in their cell, they must bang on the cell door to get a guard's attention, request that he or she provide the hygiene supply to them, and hope that the guard acquiesces.
- 44. Plaintiffs spend a maximum of nine hours a week outside of their cells for recreation. Three days a week, plaintiffs are released for three hours of exercise in a small group yard segregated by race or in walk-alone cages if they are so assigned.
- 45. In practice, however, the amount of recreation time a prisoner gets is at the discretion of the guards. Frequently, staff training, staff attenuation, incident investigations, and official tours cut short the allotted amount of yard time. Staff training throughout the month of April 2015 meant that plaintiffs received at most six hours of recreation a week. Although these are the reasons often given to the prisoners, staff are not obligated to give a

reason for cancelling yard on a given day and often do not.

- 46. Plaintiffs cannot exercise with equipment. Where prisoners on Grade A yards have heavy bags, speed bags, medicine balls, pull up bars, dip bars, a basketball court, and tables on which to play board games provided to them, Adjustment Center yards have only the rocky pavement, a few hand balls, and an outdoor toilet and shower, which is currently unusable. Should plaintiffs or class members want to use the toilet, they must do so in view of everyone else on the yard.
- 47. Walk alone cages are about twice the size of the men's cells. They do not provide space for much physical activity. Plaintiffs assigned to the cages cannot run for exercise. A built-in toilet takes up a corner of the cage. Until the 2013 hunger strike, the walk alone cages had no equipment to assist in recreation. They now have pull up bars.
- 48. Despite the exposure, plaintiffs utilize the shower in the yard as it is the only way absent a doctor's order that they are able to take a near daily shower. However, prison staff have begun prohibiting its use due to California's drought crisis. For Roldan, showering every day is one of the few humanizing things over which he has control. The recent denial of shower on the yard means that he and the other plaintiffs and class are relegated to three showers a week and are denied the hygienic practice of washing off sweat after a work-out.
- 49. The yard has no protection from the elements, and the cages have a sheet of corrugated tin covering half of the roof. Men on the yard have no protection from the sun, wind, cold, or rain, and men in the cages have only minimal sun protection provided by the thin strip of metal. Their clothing is strongly regimented. On the yard, plaintiffs can only wear a blue denim coat or a thin sweatshirt and, at the discretion of staff, clear rain gear, leaving them with little protection against the cold or rain. In severe conditions, some plaintiffs have elected to forfeit precious yard time rather than spend three hours in extreme heat, cold, or

wet.

50. Before being released for yard, plaintiffs are required to submit to an unclothed body search, whether they are going to group yard or to a walk-alone cage, where they will have no physical contact with another prisoner. Plaintiffs are then handcuffed with hands behind their backs for transport. According to O.P. 608, escort must be performed by two guards. One guard has his or her hands on plaintiffs at all times, the other walks beside the prisoners with baton withdrawn and in a strike position. Ayala consistently feels that he is seconds away from a blow with a baton; a feeling that puts him on edge in almost every encounter with guards.

- 51. During their movement to and from the yard, plaintiffs must undress. They can wear only their t-shirt, boxers, socks, and slip-on rubber shoes. Plaintiffs must walk through the unit, in front of other prisoners and staff, dressed only in these minimal garments. In inclement weather, plaintiffs may wear closed toe shoes for transport to and from yard, although they must then wear leg irons when moving around the unit in shoes other than the slip-on shoes. A second unclothed body search is performed when the men leave the yard.
- 52. Prisoners who are escorted in leg chains must wear the chains the entire time they are out of their cells. Myles has a medical need for handcuffs that are linked to one another to create less tension on his shoulders. As a result of the looser compression of his hands behind his back, he must be in leg chains. He is forced to wear them the entire time he is out of his cell, even when handcuffs are removed, including during visits to the law library and non-contact visits with attorneys.
- 53. Plaintiffs in the Adjustment Center are transported in this manner for all movement in the unit. Men are subjected to undignified unclothed body searches before and

after visits, medical appointments, and law library visits, even if they have no contact with another person and are under observation by guards the entire time. These searches are often performed in view of other prisoners and guards, instead of in a private setting such as a holding cell.

- 54. The absence of any tables, desks, chairs, benches, or stools in the yard and in the cells means that plaintiffs are not permitted the small dignity of sitting at a table to eat or a desk to write a letter or a legal appeal. The only time plaintiffs sit in a chair with a table in front of them is during the occasional visit or when they attend the law library, which occurs once every four to six weeks.
- 55. When writing letters to loved ones, Roldan kneels on his shower shoes and uses his bunk as a table. Ayala fashions a seat out of the banker's boxes where he keeps his property. Gonzales and Topete sit on a blanket on the floor of their cells and write on their beds. For Topete, who has chronic back pain, sitting in that position becomes excruciating after 15 minutes. As a result, he can only write and research in brief increments.
- 56. Other than their bunks, plaintiffs have no surface on which to spread paperwork, such as legal materials. Each table surface plaintiffs have access to is small and narrow, making research and writing exceptionally difficult.
- 57. Plaintiffs are denied any opportunity for personal enrichment in the Adjustment Center. They may not participate in educational or vocational courses. They are not permitted to work within the prison. There is no recreational programming. There are no group religious services, and individual religious services are conducted by a chaplain through the closed doors of their cells. They cannot order arts and crafts supplies, listen to music on compact discs, or learn to play an instrument. While plaintiffs have access to books, they are not granted access to the library. Their books come from a cart shelved with limited reading

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material confiscated from other prisoners in the unit. Some men have televisions and radios if they were able to purchase them during their one annual opportunity. Despite the welcome distraction of TV and radio, enrichment is still restricted. The educational channels on the televisions are limited, and antennas to enhance the quality of the radio signal are forbidden.

- 58. Plaintiffs may listen to their television and radio only through clear plastic ear buds, which have cords four feet in length. This forces the men to remain almost completely stationary while listening to the television or radio.
- 59. Listening to television or the radio is plaintiffs' only escape from the excessive noise in the unit. Sounds echo throughout the Adjustment Center. Security gates and cell doors constantly slam open and close. The sound of keys jingling on the belts of guards is constant. Prisoners shout to one another through sealed cell doors in desperate attempts to communicate or to alert one another to administration announcements. On a regular basis, prisoners can be heard screaming and yelling in fits. Some days, according to Myles, all you can hear all day long is screaming, hollering, and banging from prisoners who can no longer endure the isolation. High ceilings and the enclosed steel cells in the unit amplify this noise. The cacophony continues throughout the day and night.
- 60. A new security check system adds regularly to the excessive noise on the unit. The Guard One security system is designed to account for guards' suicide checks in cells. The system employs a hand-held wand and a sensor affixed to cell doors that must connect to register an encounter. Every 30 minutes, guards must visually check on the well-being of each prisoner in the Adjustment Center and then touch the end of the wand to the sensor as confirmation that the check was completed.
- 61. In practice, guards unnecessarily slam the wand against the sensor, creating a loud bang against the cell door. If the sensor fails to register the first time, guards slam the

wand subsequent times. Plaintiffs experience this all through the night, waking up each time there is a bang against the door.

- 62. The new system has frayed plaintiffs' nerves. Plaintiffs experience near constant anxiety in their tiny cells in anticipation of the banging against their doors.
- One check is conducted, resulting in sleep for 30 minute increments at best. Topete is awakened every time the Guard One check is performed. The noise and sudden jostling out of sleep causes his heart to race and makes it difficult for him to resume sleep. At times when Ayala is awakened by these checks, his mind races with obsessive thoughts so overwhelming that he cannot fall back to sleep.
- 64. Lopez requested that the sensors be moved to the walls to the side of the doors in the hope that it would reduce the noise created by the hollow door, but CDCR officials refused to relocate the sensors because placement on the cell doors was within the manufacturers' design and compliant with departmental procedure.
- 65. Ayala says the sleep deprivation makes him agitated and immediately angry at any little thing. Lopez feels drained of energy all the time. Topete experiences exhaustion daily as a result of his constantly interrupted sleep.
- 66. Plaintiffs do not receive adequate nutrition. Meals in the unit are often served cold and on dirty trays. The portions are small and at times rotten or inedible. They are predominately comprised of food that is starchy and high in sodium. Lunch almost every day consists of bologna or salami and sandwich bread. Myles, who has high blood pressure, nevertheless receives these high in sodium meals daily. Plaintiffs attempt to supplement their daily meals with food from the commissary. However, they are limited to \$55 a month and are the last group in the cycle to make purchases. As a result, their food supply is very limited,

and most men report regular hunger pangs.

- 67. Guards take advantage of the small rations by using food as currency to persuade prisoners to perform jobs around the unit or to skip showers on busy days. Roldan, Lopez, and Ayala have all been offered an extra tray of food in exchange for cleaning the shower or the yard toilet and sink, jobs that are supposed to be performed by guards. Myles is often so hungry that he will accept additional food in exchange for skipping a shower, leaving him to bathe using his sink in his cell.
- 68. The deleterious effects of these deprivations are exacerbated by plaintiffs' extreme social isolation. Plaintiffs are permitted only minimal social contact through group yard and non-contact visits with family.
- 69. The social contact on group yards is wrought with its own complexities. Plaintiffs assigned to a group yard are assigned to one of three race-based options: the southern Hispanic and White yard, the northern Hispanic and Black yard, and the "integrated" yard. As a result, the little socialization the men are permitted is limited to interaction only within their ethnic group as perceived and assigned by CDCR.
- 70. The minimal social contact plaintiffs on the group yards receive does not come without consequence. Plaintiffs working out with other prisoners often receive informational chronos or disciplinary write-ups citing the work-out as gang activity. Myles, who is offered placement on a group yard in committee, declines the assignment and stays in a walk alone cage in order to remain free from the gang allegations that unavoidably come from exercising on a group yard. Prisoners who do exercise on group yards soon find that these allegations are used as evidence to keep plaintiffs in the Adjustment Center. Only prisoners on the integrated yard are typically free from this consequence. Even prisoners perceived as exercising together while in the walk-alone cages receive write-ups for their workouts.

- 71. Other than group yard, plaintiffs are restricted from any other group activity. Plaintiffs can never eat together, work together, learn together, or pray together. Roldan worries that by even simply greeting another prisoner, he will be accused of gang behavior.
- 72. Plaintiffs are denied all but the most minimal social contact with family. Telephone calls are prohibited, except in cases of emergency. In the event of an emergency, plaintiffs may be permitted a brief phone call at the sole discretion of prison staff. Topete was denied a phone call to his family in 2013 when his grandmother died. Ayala's parents have both died since he has been in the Adjustment Center. When his mother died 10 years ago, he was permitted a 10-minute phone call with his family. Five years ago, when his father passed away, he was allowed to use the phone for just five minutes. Roldan was permitted only five minutes to talk to his family when his father died in 1998 and when his mother had triple bypass surgery in 2000. Lopez was denied a condolences call to his family when his cousin died in a May 2015 natural disaster because the cousin was not considered immediate family, despite the fact that Lopez lived with the cousin's mother during his childhood and helped to care for the cousin as if a younger brother.
- 73. Visits from loved ones are severely limited as well. Plaintiffs may only have non-contact visits with family and friends. The partition between them means that plaintiffs cannot touch or hug or kiss their family members. Plaintiffs are denied the most basic sense of normalcy that comes from embracing a loved one or taking comfort in their familiar scents. Myles dwells on the fact that he can no longer draw strength from grasping his family's hand and that he can no longer remember what it is like to love and be loved by his family. Topete's seven-year-old daughter constantly asks why her daddy cannot come out from behind the window during visits.
 - 74. When plaintiffs' families do make the journey to SQSP, they are limited to one

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hour visits. Predictably, some of the exceedingly brief visit is taken up with a discussion about any updates plaintiffs have on their capital appeals, leaving even less time for meaningful socialization. Grade A prisoners are permitted two and a half hour long contact visits with their family members, and if a family member travels further than 400 miles, additional time may be arranged.² Plaintiffs are permitted extra time with family only at the discretion of prison staff and only if there is space in one of the visiting booths. In practice, an extension of the hour does not often occur.

- 75. Visitation is infrequent and inconsistent. Plaintiffs may only visit with family on the weekends and occasionally on Thursday mornings. There are only five non-contact booths in which plaintiffs can see their families, and their use is sometimes shared by other non-AC prisoners in San Quentin. The long distances families must travel, restricted visitation hours, and minimal booth availability mean that most plaintiffs are denied any regular social intimacy with their families.
- 76. While visitation should be an affirming experience for plaintiffs and loved ones, it remains another opportunity for plaintiffs' dehumanization and humiliation. Despite being denied all physical contact with their family members, plaintiffs are strip searched before arriving in their sealed booth for the visit and again at its conclusion. Plaintiffs' family members often experience problems entering the facility. Topete's daughter, five years old at the time, was forced to remove her costume on Halloween because the pants for the costume were deemed too tight. If guards are late bringing plaintiffs to their visits, that time comes out of the duration of the visit. When a visit conflicts with yard, plaintiffs must sacrifice their entire three hours of recreation for one hour with their loved ones.

² This is significant as the majority of prisoners sentenced to death received their sentences from Los Angeles, Orange, San Bernardino, San Diego, and Riverside counties.

- 77. Some families find the whole visitation process so upsetting that they no longer come to visit. Myles has seen his mother only once since he was moved to the Adjustment Center, 11 years ago. Ayala struggles with his family's inability to understand the emotional and physical anguish he experiences being chained up and strip searched before he can visit them. As a result, he has asked his family to limit how often they come to visit. Roldan and his family are so distressed by the visitation procedure that they choose to communicate solely through letters and pictures.
- 78. Written correspondence is slow and censored. Social mail can take up to a month to reach plaintiffs. Guards have wide discretion to confiscate letters, pictures, and reading material sent through the mail before plaintiffs have an opportunity to review it.

 These delays and omissions compound plaintiffs' feelings of disconnectedness fostered by the minimal visitation permitted and the complete deprivation of social telephone calls.
- 79. Plaintiffs suffer from serious medical conditions that are caused or exacerbated by their confinement in the Adjustment Center. Medical care is limited in the unit and plaintiffs are unable to see appropriate specialists and receive diagnoses on a regular basis. Further, punishment and perceived security needs are put before plaintiffs' health care in even the most blatantly unnecessary ways.
- 80. Lopez, for example, is in constant pain following a surgical procedure to repair a hernia. After the surgery he experienced more pain and asked to see a specialist for almost a year. He was finally sent to a pain management specialist in 2014. The specialist at UCSF recommended a consultation for a second surgery, but he has not received it. Further, his pain management medication was taken from him as punishment for saving a pill to take in the evening, when his pain was worse. Instead of managing his intake through better monitoring or by crushing the pill and mixing it with water as is sometimes done in

correctional settings, the medication that minimally controlled his pain was discontinued.

- 81. Three hours after knee surgery, Myles was returned to the unit and forced to walk up stairs to his second tier cell. He remained on the second tier following the surgery, despite the difficulty and pain associated with climbing up and down. His knee took more than twice as long to heal as he was advised it would, and he still experiences pain in his knee from the poor aftercare. He is denied effective pain medication to manage his residual pain.
- 82. Defendants are directly responsible for the stark conditions at the Adjustment Center and for the degree to which the conditions are compounded by other punitive measures, including a pattern and practice of a coercive denial of standard medical care.
- 83. Punishment in the unit is demonstrative of the stark and inhumane conditions suffered by plaintiffs daily. When an indefinitely confined prisoner who is subjected daily to this social isolation and enforced idleness is found guilty of a rules violation, the penalties prescribed are the definition of draconian. Plaintiffs can be punished by the denial of all recreation access, almost complete removal of property, and total confinement to their cells.
- 84. Property restriction, a punishment assessed frequently against plaintiffs for not following orders or talking back to custody officers, results in all property being removed from the prisoner's cell save a single box of legal materials. Plaintiffs are denied all appliances, all books, all clothing in excess of state issue, and all commissary purchases. They are not permitted calendars or devices to tell time while on property control. All personal address books are removed, restricting what friends and families they can write to during this time. Plaintiffs serve out this punishment in deep despair and disorientation.
- 85. Property control is commonly assessed for 90 days, at a minimum. But plaintiffs have experienced property control in extreme excess of that. Beginning in 2011, Ayala was on property control for over a year as a result of a single infraction.

- 86. Plaintiffs confined to their quarters may leave only for showers and legal visits. Although this restriction is reviewed after 10 days, plaintiffs may remain confined to their cells for long periods at the discretion of the Warden. Each plaintiff has experienced this severe punishment for relatively minor infractions. Topete was confined to his quarters as punishment for allegedly possessing a hand-written note. Roldan, Gonzales, and Myles have all been punished in this manner for weeks on end, often as part of group-based punishments where they themselves were not accused of or found guilty of breaking a rule.
- 87. Race plays a large role in the degradation plaintiffs experience at the hands of staff. Ethnicity is at the forefront of their alleged association with prison gangs. Plaintiffs are subject to taunting, racial slurs, harassment during and about unclothed body searches, and mockery from guards. Most plaintiffs have had occasion to file a staff complaint based on guards' treatment of them. However, no plaintiffs' staff complaint has ever resulted in punishment for staff.
- 88. Further, guards have wide discretion to punish plaintiffs. They can discipline plaintiffs for an action or behavior that has been permitted in the past. Roldan was punished for possession of a staple that was affixing his appeal to an official response, which was provided to him by guards. They can also write and include functionally uncontestable informational chronos in plaintiffs' files. Plaintiffs live with the constant knowledge that, despite their compliance with rules, their jailers have almost complete and unchecked control over their release from the Adjustment Center.
- 89. The extreme deprivations of plaintiffs' basic human needs are CDCR policy and implemented and executed by the Warden of SQSP with the knowledge and consent of CDCR officials.

C. Due Process and Plaintiffs' Retention in the Adjustment Center

- 90. Upon admission to the condemned program, all prisoners are housed in the Adjustment Center. Newly admitted prisoners remain in the Adjustment Center for 30 to 90 days awaiting initial classification. At the initial classification meeting, prisoners receive a Grade A or Grade B determination, a housing unit, and a yard assignment.
- 91. Overwhelmingly, prisoners with alleged gang affiliations receive Grade B designation without regard to their actual behavior and remain in the Adjustment Center.
- 92. Notably, the alleged gang affiliation that keeps plaintiffs in the abysmal conditions of the Adjustment Center does not stem from active gang behavior or misconduct in prison. For some, their affiliations on the outside are used as justification for their retention in the Adjustment Center. For example, Gonzales and Myles are alleged to have ties to street gangs. Although none of the men are accused of activity in prison in furtherance of their street gang affiliation, the classification committee uses their alleged ties as a reason to deny them reprieve from the Adjustment Center.
- 93. Other plaintiffs have been accused of allegiance to prison gangs. However, the condemned unit has no process and no quality control measures to verify that plaintiffs or the class are allied with these gangs. Further, the condemned unit has no process or quality control measures for assessing whether plaintiffs and the class remain active participants in prison gangs. As a result, plaintiffs and the class are often assessed as having gang allegiances because of their ethnicity and the region in which they grew up.
- 94. Once retained in the Adjustment Center, prisoners receive a "review" of their classifications by the classification committee approximately every 90 days. The "review," however, is not substantive. Plaintiffs in the Adjustment Center have no program to demonstrate their compliance with gang prohibitions, no metric by which their actions can be

assessed. As a result, their retention is at best, arbitrary, and at worst, a certainty.

- 95. Instead of a substantive review of a plaintiff's suitability for retention or release, classification committee meetings are a rigid four step process that rarely deviates. Plaintiffs are brought before the committee in handcuffs. They remain bound the whole time. Two officers stand over plaintiffs for the duration, one with a raised baton and one close enough to maintain a hand on the plaintiff's shoulder. Then the meeting begins.
- 96. First, a psychologist asks plaintiffs if they want to hurt themselves or hurt others. This inquiry is performed in front of the entire committee. Plaintiffs are then asked what, if any, program change they are seeking. The classification committee then reviews plaintiffs' files and reads aloud portions of the record. What follows is either the outright denial of plaintiffs' requests or the assignment of arbitrary requirements outside of plaintiffs' control.
- 97. During these reviews, it is clear to plaintiffs that avoiding disruptive or violent behavior while in the Adjustment Center will never be enough to ensure their release. Many plaintiffs have not received even a minor disciplinary write-up in years. Indeed, some plaintiffs have not received a disciplinary write-up during their entire time in the Adjustment Center.
- 98. For example, Roldan has been discipline-free since his confinement to the Adjustment Center, with the exception of the write-up for the hunger strike. Gonzales too has received only one write up, for the hunger strike, but otherwise remains free from disciplinary infractions during his time in the Adjustment Center. Lopez has not received a disciplinary write up affecting his classification status in over 10 years, save his write up for the hunger strike.
 - 99. Indeed, instead of analyzing disciplinary records and plaintiffs' actual

behavior, classification committees focus on unsubstantiated "evidence" of gang affiliation.

Topete, Ayala, and Roldan were told that men who are gang affiliated are not suitable for

Grade B East Block or Grade A. For this reason, the classification committee is a meaningless,
perfunctory process for plaintiffs.

- 100. This unsubstantiated evidence comes from informational chronos and confidential memoranda. Unlike disciplinary write-ups, where a prisoner is entitled to a hearing where he can contest accusations and call witnesses to testify on his behalf, the issuance of informational chronos and confidential memoranda has no attendant process. There is no requirement that a rule be violated in order to receive one, and there is no mechanism to challenge the accusations or the veracity of their content. Plaintiffs can file a grievance over an issuance of an informational chrono or confidential memo, but no plaintiff has been successful in having one removed from his record.
- 101. These documents are relied upon heavily, and in some cases exclusively, to retain a plaintiff in the Adjustment Center.
- 102. On March 19, 2015, Ayala received an informational chrono for working out in an organized fashion. This chrono included a note stating that it would be used to show gang "activity" and that he is not able to reclassify to Grade A as a result.
- 103. In a particularly Kafkaesque demonstration of this process, Gonzales was accused through confidential memorandum of remaining intentionally disciplinary free in Grade A status, ultimately to receive and smuggle contraband back into the Adjustment Center by committing a disciplinary infraction. This memo is used to justify his retention in the Adjustment Center at each classification meeting.
- 104. Despite informational chronos or confidential memoranda often being void of any actual behavior-based allegations, plaintiffs remain indefinitely confined to the

Adjustment Center due to the material within them.

- 105. Ever present is the final option, indeed the only real option, for release from the Adjustment Center, which is to debrief.
- 106. The pressure to debrief is constant for plaintiffs whether or not they are validated gang affiliates. At every classification committee meeting, plaintiffs are told to debrief in exchange for consideration for Grade A placement.
- 107. Debriefing is extremely dangerous for plaintiffs. Informants are put at great risk of retaliation in prison. They are assaulted and sometimes killed as a result of their perception as snitches. Worse, in the eyes of many plaintiffs, the family members of informants can be targeted if the informant is somehow difficult to reach. Should plaintiffs debrief, they are putting themselves and their families at great risk of harm or death.
- 108. The risk of debriefing for the condemned is compounded by their active cases. Each plaintiff and most class members are actively appealing their commitment offences. Debriefing, however, requires plaintiffs to discuss all facts of the cases that led to their incarceration and answer questions about all aspects of their lives that prison officials deem relevant. Any information collected from this process can be used against the plaintiffs or other class member in their appeals. To combat this practice, plaintiffs' appointed capital counsel have written the Warden at San Quentin requesting that a prisoner's declination to speak about his case not be used against him in classification meetings. These appeals have fallen on deaf ears.
- 109. Lopez feels that every time officials ask him to debrief, it is as though they are asking him to lie down and die.
- 110. Validated prisoners such as Topete, Lopez, and Ayala are doomed to die without ever leaving the Adjustment Center. This is because they are denied inactive reviews.

Unlike validated prisoners in other institutions, plaintiffs will never outlive their validations as gang affiliates as long as they remain condemned. They will never be given the opportunity to demonstrate that they are not active in a gang and that their behavior does not merit their label as gang affiliates.

- 111. Plaintiffs are given no notice about what behavior will keep them confined in the Adjustment Center and what they can do to earn their way out. In an October 2014 classification chrono, it is documented that Topete's gang affiliation was the reason he would remain in the Adjustment Center. However, in February 2015, when recommended for formal Indeterminate Grade B status, the committee told him that gang behavior is not an offense that would subject him to Grade B status. Later, in March 2015, Ayala received an informational chrono that said men with accusations of gang behavior would not be suitable for removal from Grade B status.
- The despair and distress of the process means that many in the Adjustment Center elect to not attend classification meetings. Plaintiffs have come to experience classification meetings as the practice of having decades old bad acts read aloud, hearing accusations that they are violent gang members without any means of challenging the accusations, and having their ability to speak against their classification curtailed. Myles refuses to attend any 90-day classification meetings because he feels like the process of announcing anonymous evidence against him and rehashing all of his past rules violations with no meaningful opportunity to address the violations or discuss the ways in which he has progressed is designed solely to provoke anger.
- 113. For plaintiffs, classification hearings are simply a reminder to debrief or remain in solitude, with only the recitation of unsubstantiated evidence against them and no meaningful review of the factors that are said to have resulted in plaintiff's confinement in the

Adjustment Center.

D. Mental Health in the Adjustment Center

- 114. Confinement in the Adjustment Center strips plaintiffs of more than just their essentials of life and dignity. Plaintiffs experience excruciating and unrelenting mental anguish, pain, and suffering resulting from their extreme isolation.
- 115. The treacherous effect of long-term solitary confinement on the mental and physical health of human beings is long-studied and well-documented. Solitary confinement studies show clearly that isolation causes significant harm to an individual's mental health and places individuals at substantial risk of serious psychological harm.
- 116. Prisoners subject to extreme isolation suffer from a host of psychological disorders, including anxiety and nervousness, headaches, lethargy and chronic tiredness, trouble sleeping, obsessive ruminations, and oversensitivity to stimuli as a result of that isolation.
- 117. Plaintiffs all exhibit signs and symptoms of the psychological damage resulting from their isolation. Further, as plaintiffs and the class remain confined in the Adjustment Center indefinitely, they remain at substantial risk of further harm to their mental health and mental and physical well-being.
- 118. Due to their prolonged confinement and the constant noise from guards, prisoners, and security checks, plaintiffs suffer from chronic sleeplessness and insomnia. Ayala frequently gets just three to four hours of broken sleep a night. When he is woken up by any one of the raucous noises that persist though the night, obsessive thoughts and paranoia about the reason he was wakened prevent him from falling back asleep. Lopez wakes at every noise close to his cell, making a sound night's sleep impossible for him. As a result, he is less able to cope with the rampant indignity and dehumanization of life in the Adjustment Center.

Myles has his sleep interrupted at least five times a night from excessive noise and from officers performing security checks by shining a flashlight in his eyes. He also feels less able to cope with the realities of his confinement as a result of the deprivation. He additionally experiences memory loss from a lack of sleep.

- 119. Plaintiffs experience choking frustration as a result of the solitary conditions in the Adjustment Center, the uncertainty of the length of confinement, and the attendant injustice and helplessness they feel at being trapped in isolation with no mechanism through which they can earn their way out. Despite its persistence, plaintiffs work consistently to suppress the frustration they feel. They know any outward expression that could be perceived as anger could lead to a disciplinary write-up resulting in grave punishments. Worse still, it could be used to further justify their indefinite confinement in the Adjustment Center.
- 120. While the justifiable frustration created by their isolation is ever-present in plaintiffs, they feel numb to other emotions. Plaintiffs often do not feel happiness or sadness. They cannot draw upon emotions when they visit with their loved ones. This emotional numbness further entrenches the men in their isolation, straining the only socially intimate connections they have.
- 121. Myles says that most of the time, he feels nothing. At times, he is even grateful for the numbness, because the times when he does feel, frustration and despair are constant. Feeling nothing, he says, is easier in a place like this.
- 122. Plaintiffs experience difficulty with concentration and memory. Roldan feels unable to focus and reflect on anything during his day. Ayala struggles to remember simple day to day tasks.
- 123. Human touch has also become foreign to plaintiffs. Myles says that every time a guard or prisoner puts a hand on him, he gets the highly anxious feeling reminiscent of

being grabbed by a complete stranger. Roldan detests being touched by anyone and goes to great lengths to avoid it.

- both note that their minds run constantly, often running through all the possible reasons for a particular event in the Adjustment Center, such as the cancellation of yard. These ruminations sometimes keep them awake through the night. Gonzales has obsessive-compulsive tendencies. He sweeps and mops the floor of his cell before each time he leaves. Roldan, Topete, and Myles also obsessively clean their surroundings. Gonzales feels that he must wash his hands every time he is forced to touch something outside of his cell.
- 125. Despite these predictable and observable developed maladies, plaintiffs have little to no access to mental health services in the Adjustment Center. On occasion, guards will ask mental health staff to check on a particular prisoner. This contact is performed through the prisoner's cell door and is overheard by guards and neighbors. As a result of the lack of confidentiality in the interaction and the potential for professed mental health symptoms to be used negatively by guards and other prisoners, plaintiffs are reluctant to share any mental health concerns.
- 126. Although without access to services and diagnoses, plaintiffs are acutely aware of the deep fundamental changes to their experience of their own normalcy. Many plaintiffs report that they observe their mental deterioration. Ayala does not remember what it is like to exist without his symptoms.
- 127. The only mental health contact plaintiffs consistently have is the two questions prisoners are asked at the beginning of the classification meetings. This too is conducted in front of prison staff and outside of any confidential setting.
 - 128. Confidential meetings, when they do occur, happen in a cramped and filthy

booth. Plaintiffs and class members are strip-searched before and after the appointment, which adds to the mental anguish that led them to seek help.

129. Plaintiffs' symptoms are predictable and expected in an isolated environment. In addition, the conditions under which plaintiffs can expect to receive mental health care result in a constructive denial of care, which exacerbates plaintiffs' daily symptoms.

Defendants are aware of these issues and permit them to continue.

E. Class Allegations

- 130. Plaintiffs bring this action on their own behalf and, pursuant to Rules 23(a), 23(b)(1), and 23(b)(2) of the Federal Rules of Civil Procedure, on behalf of all prisoners who are now serving, or will in the future serve, indeterminate sentences in the Adjustment Center at San Quentin State Prison on the basis of unverified and uncontestable gang affiliation allegations, none of whom have been or will be afforded meaningful review of their confinement, in violation of the Due Process Clause of the Fourteenth Amendment.
- 131. Plaintiffs also bring this action on their own behalf and on behalf of all prisoners who are now, or will be in the future, imprisoned by defendants in the Adjustment Center under the conditions and pursuant to the policies described herein for an indefinite period. Such imprisonment constitutes cruel and unusual punishment within the meaning of the Eighth Amendment.
- 132. The class is so numerous that joinder of all members is impracticable. Fed. R. Civ. P. 23(a)(1). As of April 2015, there were 93 condemned men imprisoned in the Adjustment Center. Plaintiffs and the class comprise the majority of this group. Upon information and belief, these men have been denied meaningful notice and review, and thus fit the class definition. All have been subjected to cruel and unusual punishment.
 - 133. The class members are identifiable using records maintained in the ordinary

course of business by CDCR.

- 134. All class members are suffering the deprivation of at least one basic human need due to their prolonged confinement in the Adjustment Center, including mental and physical health, physical exercise, sleep, nutrition, normal human contact, meaningful activity, and environmental stimulation. In addition, all class members are suffering significant mental and physical harm. While the exact nature of those harms may differ in some respects for each prisoner, the source of the harm complained of here is the same namely, defendants' policies and practices in placing the class of prisoners for a lengthy period of time in conditions of confinement shown to cause serious mental and physical harm.
- 135. In addition, all prisoners placed in the Adjustment Center face a common risk of suffering even more serious mental harm caused by their retention in the Center for such a lengthy period of time.
- 136. There are questions of law and fact common to the members of the class.

 Those questions include, but are not limited to:
 - a) Whether prolonged and indefinite confinement in the Adjustment Center under the conditions and policies maintained by the defendants objectively constitutes cruel and unusual punishment prohibited by the Eighth Amendment.
 - b) Whether defendants have been deliberately indifferent to the mental and physical suffering incurred by the plaintiff class.
 - c) Whether incarceration under the conditions and policies imposed by defendants results in constitutionally cognizable harm, or presents a constitutionally unacceptable risk of harm.
 - d) Whether a legitimate penological reason exists for defendants to incarcerate

condemned prisoners indefinitely in the conditions described herein simply because they are members or associates of a gang, without demonstrating that they are currently engaged in or have been recently engaged in some illegal or wrongful gangrelated or other misconduct.

- e) Whether the conditions in the Adjustment Center and the policies imposed by defendants on all prisoners housed therein constitute an atypical and significant hardship compared to the ordinary incidents of prison life.
- f) Whether defendants deny prisoners incarcerated in the Adjustment Center meaningful, periodic review of their confinement as required by the Due Process Clause of the Fourteenth Amendment by: (1) failing to provide them with notice of what they can do to get released from the Adjustment Center apart from risking their lives and safety and that of their families by debriefing; (2) providing misleading notice that they can become eligible to be released from the Adjustment Center by becoming an "inactive" gang member or associate and refraining from any gang activity, when in fact prisoners who are not involved in any current gang activity are still routinely retained in the Adjustment Center; and 3) making a predetermination that many prisoners will stay in the Adjustment Center until they either die or debrief, thus rendering the classification reviews meaningless.
- g) Whether Defendants fail to provide timely meaningful review of prisoners' imprisonment in the Adjustment Center by engaging in 90-day reviews that do not substantively review whether the prisoners should be retained in the Adjustment Center and therefore are meaningless, and providing no so-called "inactive" review.
- 137. Defendants are expected to raise common defenses to these claims, including denying that their policies and practices violate the Constitution.

138. The claims of the plaintiffs are typical of those of the plaintiff class, as their claims arise from the same policies, practices, courses of conduct, and conditions of confinement, and their claims are based on the same legal theories as the class' claims. The cause of the named plaintiffs' injuries is the same as the cause of the injuries suffered by the rest of the class, namely defendants' policies and practices.

- 139. Plaintiffs are capable of fairly and adequately protecting the interests of the plaintiff class because plaintiffs do not have any interests antagonistic to the class. Plaintiffs, as well as class members, seek to enjoin the unlawful acts, policies, and practices of defendants. Indeed, some of the named plaintiffs have already served as de facto representatives of the class by presenting the demands of hunger strikers to defendants during the hunger strike of 2013. Finally, plaintiffs are represented by counsel experienced in civil rights litigation, prisoners' rights litigation, and complex class litigation.
- 140. This action is maintainable as a class action pursuant to Fed. R. Civ. P. 23(b)(1) because the number of class members is numerous, and prosecution of separate actions by individuals would create a risk of inconsistent and varying adjudications, which in turn would establish incompatible standards of conduct for defendants. Moreover, the prosecution of separate actions by individual members is costly, inefficient, and could result in decisions with respect to individual members of the class that, as a practical matter, would substantially impair the ability of other members to protect their interests.
- 141. This action is also maintainable as a class action pursuant to Fed. R. Civ. P. 23(b)(2) because defendants' policies and practices that form the basis of this Complaint are generally applicable to all the class members, thereby making class-wide declaratory and injunctive relief appropriate. Common questions of law and fact clearly predominate within the meaning of Rule 23(b)(2) as set forth above. Class treatment provides a fair and efficient

method for the adjudication of the controversy herein described, affecting a large number of persons, the joinder of whom is impracticable.

V. CLAIMS FOR RELIEF

First Cause of Action: Eighth and Fourteenth Amendments (Cruel and Unusual Punishment)

- 142. Plaintiffs incorporate by reference each and every allegation contained in the preceding paragraphs as set forth herein.
- 143. Plaintiffs advance this claim on their own behalf, and on behalf of the class, against all defendants.
- 144. By their policies and practices described herein, defendants have imposed serious deprivations and continue to impose serious deprivations on the plaintiffs and the class of the minimal civilized measure of life's necessities and have violated their basic human dignity and their right to be free from cruel and unusual punishment under the Eighth and Fourteenth Amendments to the United States Constitution for each of the reasons set forth below.

A. Deprivation of basic human needs

- 145. First, the cumulative effect of the horrendous and wrenching conditions of confinement combined with the extremely prolonged isolation deny each plaintiff at least one basic human need, including but not limited to normal human contact, environmental and sensory stimulation, mental and physical health, physical exercise, sleep, nutrition, and meaningful activity.
- B. Imposition of serious psychological and physical injury, pain and suffering
- 146. Second, the deprivation of these basic human needs for a prolonged period causes plaintiffs and the class serious psychological pain and suffering and permanent

psychological and physical injury. In addition to plaintiffs' current psychological and physical pain, the likelihood that plaintiffs and the class will remain in the Adjustment Center for the foreseeable future subjects plaintiffs and the class to a significant risk of serious harm to their psychological and physical health.

C. Adjustment Center confinement designed to coerce plaintiffs to provide information

- 147. Third, defendants' policies and practices of isolating plaintiffs and the class in the harsh conditions of the Adjustment Center is not legitimately related to security or other penological needs, but rather designed to unjustifiably punish plaintiffs and the class for their alleged associations and to coerce them into becoming informants for the State. The policy and practice of holding plaintiffs and the class in prolonged isolation and subjecting them to extreme deprivations of basic human needs with the threat that they will debrief or die in those conditions is intolerable and an affront to modern standards of decency. It is cruel and unusual punishment for defendants to coerce plaintiffs and the class to provide information on other prisoners—if they indeed have any such information—by indefinitely confining them in crushing and punitive conditions that constitute an atypical and significant hardship, unless they so inform.
- even perceived to have informed—suffer a substantial risk of serious harm to their own physical integrity and well-being as well as that of their loved ones from the palpable threat of retaliation by prisoners and gangs upon whom the prisoner informs. The combination of the stifling conditions in the Adjustment Center, the policies and practices designed to coerce prisoners to debrief, the lack of any effective means of obtaining release from the Adjustment Center without debriefing, and the substantial risk of serious harm if one does debrief, puts

prisoners in an untenable position and constitutes an unconstitutional threat to the safety of prisoners confined in the Adjustment Center in violation of the Eighth and Fourteenth Amendments to the Constitution.

D. Disproportionate punishment

confinement in the Adjustment Center imposes disproportionate punishment on plaintiffs and the class. Defendants have no legitimate penological interest in retaining prisoners indefinitely in the debilitating conditions of the Adjustment Center simply because they are alleged to be gang members or associates, without recent, serious disciplinary or gang-related infractions. Nor are the policies or practices rationally related to legitimate security needs. Defendants' decades-long infliction of significant psychological harm and the risk of future debilitating harm on these prisoners simply for allegedly being gang members or associates offends civilized society's sense of decency, constitutes an intolerable practice in modern society, and is a disproportionate punishment that violates the Eighth and Fourteenth Amendments to the Constitution.

E. Deprivation of human dignity in violation of contemporary standards of decency

- 150. Finally, defendants' continuation of plaintiffs' isolation for many years under debilitating and extreme conditions and deprivations strips human beings of their basic dignity and humanity in violation of contemporary standards of decency and constitutes cruel and unusual treatment as prohibited by the Eighth and Fourteenth Amendments to the United States Constitution.
- 151. That California's policies and practices violate modern standards of human decency is evidenced by the fact that those policies and practices are unusual as compared to

other states' segregation practices and as compared to the length of time a prisoner on California's death row can expect to experience these conditions as compared to other states' death rows. Virtually no other state uses mere gang association or membership to confine prisoners to segregated housing. Plaintiffs and the class are subject to unusually harsh conditions of confinement even in comparison with other death rows, such as windowless cells and a lack of telephone access to call loved ones, for years and in some cases for decades longer than prisoners on other states' death rows.

152. That California's practices with respect to the plaintiff class violate contemporary standards of human decency and dignity is also evidenced by the international community's condemnation of the practice of prolonged and indefinite solitary confinement under very harsh and stifling conditions such as exist in the Adjustment Center and their condemnation of separate and harsher treatment of prisoners on death row. Such condemnation is reflected in international treaties such as the Convention Against Torture and the International Covenant on Civil and Political Rights, decisions and declarations of international bodies, customary international law, and decisions of regional and national courts.

F. Defendants deliberate indifference to the deprivations suffered by plaintiffs

- 153. The policies and practices complained of herein have been and continue to be implemented by defendants and their agents, officials, employees, and all persons acting in concert with them under color of state law, in their official capacity.
- 154. Defendants have been and are aware of all the deprivations complained of herein and have condoned or been deliberately indifferent to such conduct.
 - 155. It should be obvious to defendants and to any reasonable person that the

conditions imposed on plaintiffs and the class for many years cause tremendous mental anguish, suffering, and pain to such prisoners. Moreover, defendants have repeatedly been made aware through administrative grievances, a hunger strike, and written complaints that plaintiffs and class members are currently experiencing significant and lasting injury. Defendants have been deliberately indifferent to plaintiffs' pain and suffering.

156. Indeed, defendants have deliberately and knowingly caused such pain to unjustifiably punish plaintiffs and in an effort to force plaintiffs and the class to become informants.

Second Cause of Action: Fifth and Fourteenth Amendments (Due Process)

- 157. Plaintiffs incorporate by reference each and every allegation contained in the preceding paragraphs as set forth herein.
- 158. Plaintiffs advance this claim on their own behalf, and on behalf of the class, against all defendants.
- Defendants have deprived plaintiffs and the class of a liberty interest without due process of law by denying them meaningful and timely periodic review of their prolonged, continued, long-term, and indefinite detention in the Adjustment Center and meaningful notice of what they must do to earn their release and to be subject to the same conditions as other condemned prisoners at SQSP, in violation of the Fifth and Fourteenth Amendments to the United States Constitution.
- 160. The conditions and the duration of defendants' confinement of plaintiffs and the class in the Adjustment Center constitutes an atypical and significant hardship as compared with the ordinary incidents of prison life due to the exceeding harshness and isolation of the conditions in the Adjustment Center and the unconscionably long duration of

confinement in the Adjustment Center.

- 161. The conditions in the Adjustment Center are unduly harsh and do not generally mirror those conditions imposed upon prisoners in segregated housing or in other units on California's death row. These harsh conditions include but are not limited to: isolation in cells that are sealed off from contact with other prisoners, the lack of windows in cells, a prohibition on social phone calls, no contact visits and very limited visiting hours, no or minimal educational or general programming, exercise facilities that are void of nearly all recreational equipment, food which is inferior to that served to other prisoners in California prisons and on California's death row, and denial of standard medical and mental health care.
- 162. Plaintiffs have been held in the crushing conditions described above for years, and often for decades, with no meaningful hope of release and no functional procedure by which to earn their way out. Plaintiffs are condemned and sentenced to remain in this single place until they die. This shockingly lengthy confinement void of any meaningful process for release is atypical in comparison to the ordinary disciplinary and administrative segregation imposed in California.
- 163. Because indefinite placement in the Adjustment Center constitutes a significant and atypical hardship, plaintiffs and the class are entitled to meaningful notice of how they may alter their behavior to achieve Grade B East Block and Grade A classifications, as well as meaningful and timely periodic reviews to determine whether they still warrant detention in the Adjustment Center.
- 164. Defendants have denied and continue to deny any such notice or meaningful review by: (1) failing to provide prisoners with notice of what they can do to be released from the Adjustment Center apart from providing information that they do not have or risking their life and safety and that of their families by debriefing; (2) providing misleading notice

that they can become eligible to be released from the Adjustment Center by refraining from engaging in any gang activities, when in fact prisoners who are not involved in any current gang activity are still routinely retained in the Adjustment Center; (3) making a predetermination that many prisoners will stay in the Adjustment Center until they either die or debrief, thus rendering the periodic reviews substantively and procedurally meaningless.

- 165. Defendants are also violating plaintiffs' due process rights by retaining plaintiffs and the class in conditions that amount to an atypical and significant hardship without legitimate penological interest, as this detention occurs without reliable evidence that plaintiffs and the class are committing any acts on behalf of a prison gang and are thus active gang members.
- 166. Defendants are capable of providing plaintiffs and the class with meaningful review of their initial placement and prolonged detention in the Adjustment Center and of placing prisoners whose actual conduct does not require isolation in the Adjustment Center in other units housing the condemned at minimal cost and without sacrificing defendants' legitimate interests in maintaining safety and orderly conditions in the units housing the condemned at SQSP.

PRAYER FOR RELIEF

Plaintiffs and the class they represent have no adequate remedy at law to redress the wrongs suffered as set forth in this complaint. Plaintiffs have suffered and will continue to suffer irreparable injury as a result of the unlawful acts, omissions, policies, and practices of defendants, as alleged herein, unless plaintiffs and the class they represent are granted the relief they request. The need for relief is critical because the rights at issue are paramount under the United States Constitution.

WHEREFORE, the named plaintiffs and the class they represent request that this

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Court grant them the following relief:

- a. Declare that the suit is maintainable as a class action pursuant to Federal Rule of Civil Procedure 23(a) and 23(b)(1) and (2);
- b. Declare that defendants' policies and practices of confining prisoners in the Adjustment Center violate the Eighth and Fourteenth Amendments to the United States Constitution;
- c. Issue injunctive relief ordering defendants to present a plan to the Court within 30 days of the issuance of the Court's order providing for:
 - i. The release from the Adjustment Center of those prisoners whose term in the Adjustment Center are indefinite, or the assignment of a definite confinement period based on actual disciplinary infractions of which the prisoner has been found guilty, which is proportional to the severity of the infraction;
 - ii. Alleviation of the conditions of confinement in the Adjustment Center so that prisoners no longer are incarcerated under conditions of isolation, sensory deprivation, lack of social and physical human contact, and environmental deprivation;
 - iii. Meaningful review of the continued need for confinement in the Adjustment Center of all prisoners currently housed in the Adjustment Center within six months of the date of the Court's order; and
 - iv. Meaningful review of Adjustment Center confinement for prisoners housed in the Adjustment Center in the future;
- d. Award Plaintiffs the costs of this suit, and reasonable attorneys' fees and litigation expenses pursuant to 42 U.S.C. § 1988, and other applicable law;
- e. Retain jurisdiction of this case until defendants have fully complied with the orders of this

Court, and there is a reasonable assurance that defendants will continue to comply in the future absent continuing jurisdiction; and

f. Award such other and further relief as the Court deems just and proper.

Dated June 17, 2015

SIEGEL & YEE

Dan Siege

Attorneys for Plaintiffs BOBBY LOPEZ, et al.

JS 44 (Rev. 12/12) cand rev (1/15/13)

(Place an "X" in One Box Only)

CIVIL COVER SHEET

The JS 44 civil cover sheet and the information contained herein neither replace nor supplement the filing and service of pleadings or other papers as required by law, except as provided by local rules of court. This form, approved by the Judicial Conference of the United States in September 1974, is required for the use of the Clerk of Court for the purpose of initiating the civil docket sheet. (SEE INSTRUCTIONS ON NEXT PAGE OF THIS FORM.)

I. (a) PLAINTIFFS BOBBY LOPEZ, MARCO ROLDAN, JOHN GONZA their own behalf and on b (b) County of Residence of	DEFENDANTS EDMUND G. BROWN, Governor of the State of California; JEFFREY A. BEARD, Secretary of the California Department of Corrections and Rehabilitation; RONALD DAVIS, Warden, San Quentin State Prison, County of Residence of First Listed Defendant (IN U.S. PLAINTIFF CASES ONLY) NOTE: IN LAND CONDEMNATION CASES, USE THE LOCATION OF THE TRACT OF LAND INVOLVED.									
(c) Attorneys (Firm Name, A DAN SIEGEL, EMILYROSE JOH 499 14th Street, Suite 300 Oakland, CA 94612 Tel: (510) 839-1200		Attorneys (If Known)								
II. BASIS OF JURISDICTION (Place an "X" in One Box Only) III. CITIZENSHIP OF PRINCIPAL PARTIES (Place an "X" in One Box for Plaintiff										
□ 1 U.S. Government Plaintiff	· · · · · · · · · · · · · · · · · · ·			(For Diversity Cases Only) PTF DEF cen of This State						
☐ 2 U.S. Government Defendant	3 4 Diversity (Indicate Citizenship of Parties in Item III)		Citíz	en of Another State						
ENT. BLACK THE OF CULTUTE		zen or Subject of a								
IV. NATURE OF SUIT	•	(b) RTS	FC	ORFEITURE/PENALTY	BAN	KRUPTCY	OTHER STATUTES			
☐ 110 Insurance ☐ 120 Marine ☐ 130 Miller Act ☐ 140 Negotiable Instrument ☐ 150 Recovery of Overpayment	PERSONAL INJURY 310 Airplane 315 Airplane Product Liability 320 Assault, Libel & Slander 330 Federal Employers' Liability 340 Marine 345 Marine Product Liability 350 Motor Vehicle Product Liability 360 Other Personal Injury 362 Personal Injury Medical Malpractice CIVIL RIGHTS 440 Other Civil Rights 441 Housing/ Accommodations 445 Amer. w/Disabilities - Employment	PERSONAL INJUR Product Liability Product Liability Parmaceutical Personal Injury Product Liability Product Liability Product Liability Product Liability PERSONAL PROPEI 370 Other Fraud 371 Truth in Lending Property Damage Property Damage Product Liability PRISONER PETITIO Habeas Corpus: 463 Alien Detainee 510 Motions to Vacate Sentence 530 General 535 Death Penalty Other:	Y	25 Drug Related Seizure of Property 21 USC 881 90 Other	□ 422 Appeal 28 USC 158 □ 423 Withdrawal 28 USC 157 PROPERTY RIGHTS □ 820 Copyrights □ 830 Patent □ 840 Trademark SOCIAL SECURITY □ 861 HIA (1395ff) □ 862 Black Lung (923) □ 863 DIWC/DIWW (405(g)) □ 864 SSID Title XVI □ 865 RSI (405(g)) FEDERAL TAX SUITS □ 870 Taxes (U.S. Plaintiff or Defendant) □ 871 IRS—Third Party 26 USC 7609		□ 375 False Claims Act □ 400 State Reapportionment □ 410 Antitrust □ 430 Banks and Banking □ 450 Commerce □ 460 Deportation □ 470 Racketeer Influenced and □ Corrupt Organizations □ 480 Consumer Credit □ 490 Cable/Sat TV □ 850 Securities/Commodities/ Exchange □ 890 Other Statutory Actions □ 891 Agricultural Acts □ 893 Environmental Matters □ 895 Freedom of Information Act □ 896 Arbitration □ 899 Administrative Procedure Act/Review or Appeal of Agency Decision □ 950 Constitutionality of State Statutes			
	☐ 446 Amer. w/Disabilities - Other ☐ 448 Education	☐ 540 Mandamus & Oth ☐ 550 Civil Rights ☐ 555 Prison Condition ☐ 560 Civil Detainee - Conditions of Confinement		65 Other Immigration Actions						
V. ORIGIN (Place an "X" in One Box Only) 2 Original										
VI. CAUSE OF ACTION Cite the U.S. Civil Statute under which you are filing (Do not cite jurisdictional statutes unless diversity): 42 U.S.C. § 1983 and the Eighth and Fourteenth Amendments to the United States Constitution Brief description of cause: Cruel and unusual punishment; due process violations										
VII. REQUESTED IN COMPLAINT:	CHECK IF THIS UNDER RULE 2	IS A CLASS ACTION 3, F.R.Cv.P.	N I	DEMAND S	CHECK YES only if demanded in complaint: JURY DEMAND: Yes No					
VIII. RELATED CASE(S) IF ANY (See instructions): JUDGE Hon. Claudia Wilken DOCKET NUMBER 4:09-cv-05796-CW										
DATE SIGNATIVE OF ATTORNATIVE RECORD 06/17/2015										
IX. DIVISIONAL ASSIGNMEN	I (CIVII L.R. 3-2)									

SAN FRANCISCO/OAKLAND

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